The Security and Human Rights Grant

Providing Opportunities for Local Organisations to Promote Good Security and Human Rights Practices on the Ground

October 2019

DCAF
Geneva Centre for Security Sector Governance
SECURITY & HUMAN RIGHTS IMPLEMENTATION MECHANISM (SHRIM)
The Security and Human Rights Grant

Are you a national or local civil society organisation based in the Global South and working in fragile contexts, where extractive industries have an impact on Security and Human Rights?

Are you striving to promote human rights, while acknowledging that security is a fundamental need, shared by individuals, communities, businesses and governments alike?

Are you specialized or experienced in working with gender and/or human rights defenders and/or media in support of social and economic development?

Are you ready to promote constructive dialogue and engage with actors coming from the private and the public sector to promote human rights compliant security practices around extractive operations?

Given the potential impact of extractive sector operations on security and human rights, for the purpose of this grant period, the Security and Human Rights Grant will award two to three innovative projects seeking to improve security and human rights good practices in contexts where extractive businesses are operating. A maximum of 30'000 CHF will be allocated per project. Project should not exceed six months.

The deadline for application is the 15 November 2019.

How to Apply to the Security and Human Rights Grant

In order to make the application process as smooth as possible, each step in the process is explained below.

The SHRIM Secretariat has prepared an admissibility checklist to make sure applicants are complying with the main eligibility criteria and requirements to be eligible for the Security and Human Rights Grant.

Find here the project proposal template and the budget proposal template.

A complete application for the Security and Human Rights Grant includes the submission before the deadline of these two completed templates to the SHRIM Secretariat, SHRIM@dcaf.ch.
Background

The DCAF Security and Human Rights Implementation Mechanism (SHRIM) was set up in 2016 to facilitate the implementation of security and human rights good practice in contexts where business operations have an important footprint, in a cost-effective way and involving a minimum of bureaucracy. The SHRIM builds on DCAF’s extensive experience developing international multi-actor mechanisms to support targeted, cost-effective security, development and human rights programming in fragile contexts.

Currently, the SHRIM is funded by the United Kingdom, Switzerland, the Netherlands and Norway. More information can be found here.

An important objective of the SHRIM is to foster greater public-private cooperation; the SHRIM promotes partnerships with local, regional and international stakeholders. This objective is shared by other actors in the security and human rights field, including the Voluntary Principles Initiative (VPI) and the International Code of Conduct for Private Security Service Providers (ICoC). Whilst the SHRIM is not formally linked to these initiatives, where objectives overlap, projects that contribute to the implementation of these multi-stakeholder initiatives are supported. The SHRIM also aims to promote wider work on the role of business in complex environments, including by building bridges between business and human rights, security sector reform as well as the wider security and development communities of practice.

The SHRIM is strongly committed to promoting local ownership and capacity building and therefore prioritises partnerships that reinforce local actors and processes in contexts of fragility. The participation of local organisations is crucial to the sustainability of initiatives promoting dialogue as well as security and human rights implementation. These actors often lack resources and access to funding, which undermine their participation in such processes.

To support local organisations promoting security and human rights good practices on the ground, the SHRIM supports local CSOs through the Security and Human Rights Grant. A total of CHF 60’000 will be allocated for innovative projects that reinforce human rights, while establishing dialogue with public and private stakeholders. A maximum of CHF 30’000 will be allocated per project.

For this year, the proposals awarded by the Security and Human Rights Grant must focus on at least one of the following focus areas:

- Gender, Security and Human Rights; and/or
- Human Rights Defenders and Security; and/or
- Media as a tool to promote dialogue towards better security and human rights implementation.

Applicants can choose either to focus on one thematic area or to adopt a cross-sectional approach and focus on two or more topics.

Gender, Security and Human Rights

People are exposed to different security threats and have different security needs based on their sex and gender (as well as other social factors). Moreover, there are significant gendered differences in how people may or may not have access to local and national decision-making processes. Often, women have less resources and opportunities to participate in these processes, which undermines their ability to influence policies and practices in the society and in institutions at the local, national and international level.

To build sustainable peace, security and human rights challenges must be addressed through legitimate and locally-owned processes, that take into account the specific gendered needs and access to resources of all members of society.

The Security and Human Rights Grant seeks to support projects that analyse and address different security needs and risks of women, men, boys and girls, as well as other marginalized groups, to ultimately promote sustainable and good security and human rights practices.

Human Rights Defenders and Security

Human Rights Defenders are people who, individually or with others, act to promote or protect human rights and that accepts the universality of human rights as defined in the Universal Declaration of
Human Rights (OHCHR, 1996-2019). In contexts affected by mining activities they often represent their communities defending indigenous and land rights, struggle for accountability of human rights violations or promote rights of communities having specific needs and facing specific security threats (indigenous communities, women, LGBT, etc.). Human Rights Defenders have a crucial role in advancing the situation of human rights in their country and often lead important advocacy-related activities, representing their communities at the national and international level.

The Security and Human Rights Grant acknowledges that their participation is key to a sustainable dialogue on security and human rights. It seeks to support projects that build Human Rights Defenders capacities or resources and reinforce their networks to enhance participation in decision-making processes and ultimately strengthen the implementation of good security and human rights practices on the ground.

**Media, Communication, Security and Human Rights**

The Media provides the main tool through which people obtain their information. The media plays a crucial role in forming public or community opinions on specific matters such as policies, governmental decisions, security practices, etc. In addition, the media can articulate the needs of the communities, expose risks as well as human rights abuses and promote dialogue. In that sense, it is key to promote peace, security and human rights. As the “fourth estate”, the media can also have a significant impact (positive or negative) on the way people perceive security threats and thus can affect social and political processes. The role of the media is therefore crucial in the establishment of good security and human rights practices.

For that reason, the Security and Human Rights Grants seeks to promote initiatives that are enhancing credible information as well as constructive dialogue through local media (community radios, print media, TV, social media, Blogs, etc.) or other innovative communication practices (such as forum theatre, music performance, participatory mapping exercises, etc.) that can reach out to local communities and empower them to participate in the wider security and human rights community of practice.
Admission Criteria

Who can apply?

This call for proposals seeks to support national and local civil society organisations based in the Global South. Applications from International Non-Governmental Organisations (INGO) will be considered only in partnership with national and local civil society organisations, with a strong capacity building component. Applications from commercial entities, or individuals will not be considered.

What kind of projects will be selected?

The SHRIM supports projects that focus on strengthening security and human rights good practices in fragile contexts. Projects should focus in contexts where security practices of extractive operations have a potential impact on human rights. Moreover, projects should focus on a thematic area related to at least one of the following topics:

- Gender, Security and Human Rights; and/or
- Human Rights Defenders and Security; and/or
- Media as a tool to promote dialogue toward better security and human rights implementation

Cross-sectional projects are also strongly encouraged, but do not necessarily have greater chance to be selected (proposals will be assessed based on criteria listed under “selection process”). For this call for proposals, the projects proposed should be linked to operations of private security providers and/or the extractive industry. With the Security and Human Rights Grant, the SHRIM aims at strengthening local organisations in line with the following SHRIM priorities:

- Support the participation of local communities in the dialogue on security and human rights at the regional and national level through awareness raising, media campaign or other activities that could potentially have a national outreach;
- Develop tools (baseline studies, policy briefs, etc.) to facilitate dialogue on security and human rights;
- Contribute to a constructive environment for dialogue in the country;
- Develop linkages between local and national level action with relevant international initiatives (e.g. OECD Due Diligence Guidelines, International Code of Conduct Association, United Nations Guiding Principles on Business and Human Rights, Voluntary Principles on Security and Human Rights);
- Focus specifically on relations between businesses, public and private security providers and on encouraging businesses to adopt human rights compliant security practices;
- Encouraging knowledge sharing and lesson learning;
- Show due consideration for concerns of local ownership and sustainability;
- Build capacity of national and local CSOs.

What is the timeframe for applications?

The closing date for this call for proposals is 15 November 2019.

Any Questions?

Applicants can send their inquiries to the SHRIM Secretariat to the address shrim@dcaf.ch. Answers will be posted online on the Knowledge Hub for Security and Human Rights in the form of Frequently Asked Questions (FAQ). No personal answer will be sent. Check here to access the FAQ page.

When will the Grant be Awarded?

Applicants will be notified as to the status of their applications by mid December 2019.
**Selection Process**

The selection process will immediately follow the end of the call for proposals and will consist of the following stages:

1. **Eligibility check (1 week)**

Each of the proposals will first go through an eligibility check during which the Committee will review that:

- The proposals are submitted on time and complete;
- The applicant meets the eligibility criteria, respect the thematic focuses of the grant;
- The proposals meet the Result Based Management eligibility criteria for projects (both in term of narrative proposal and budget).

At the end of this first phase, the applicants will be notified by the SHRIM Secretariat if their project has reached the second phase of the selection process.

2. **Technical evaluation analysis (2 weeks)**

A technical evaluation will then be undertaken by a technical evaluation committee composed of two representatives of the SHRIM Steering Committee and the SHRIM Secretariat.

The evaluation will be made based on the information provided in the narrative proposal, budget and budget narrative. The proposal will be evaluated against the following criteria:

<table>
<thead>
<tr>
<th>Criteria</th>
<th>Weight</th>
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</thead>
<tbody>
<tr>
<td>Project in line with the priorities of the SHRIM</td>
<td>15%</td>
</tr>
<tr>
<td>Project in line with the thematic focuses of the Grant</td>
<td>15%</td>
</tr>
<tr>
<td>Soundness of the implementation plan</td>
<td>20%</td>
</tr>
<tr>
<td>Precision of the monitoring plan</td>
<td>10%</td>
</tr>
<tr>
<td>Local ownership and sustainability</td>
<td>20%</td>
</tr>
<tr>
<td>Value for money</td>
<td>20%</td>
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</tbody>
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Members of the evaluation team will review independently each project. The members of the evaluation team will provide scores and comments. They will also take into consideration the thematic diversity of the projects during the final project selection. Following this review, the team will meet, share notes and comments, in order to decide on the projects that will be selected. Ideally, a consensus must be reached; otherwise, the members will proceed to a vote.

Organisations will then be notified about the final status of their application by the SHRIM Secretariat. The SHRIM secretariat will follow up with the successful applicants to finalise the attribution of the grant.
Eligibility Checklist

Who are you? Check at least one of the following options

☐ You are a local organisation based in the Global South
☐ You are an international organisation and your project will partner with a local organisation with a strong capacity building focus

What is the thematic focus of your project? Check at least one box. Notice that the project can have more than one focus and we encourage cross-sectional projects

☐ Gender, Security and Human Rights
☐ Human Rights Defenders and Security
☐ Media, Security and Human Rights

Does your project seek to achieve at least some of the following objectives?

☐ Develop linkages between local and national level action with relevant international initiatives (e.g. OECD Due Diligence Guidelines, International Code of Conduct Association, United Nations Guiding Principles on Business and Human Rights, Voluntary Principles on Security and Human Rights); and/or
☐ Develop tools (baselines, policy briefs, etc.) to include in the dialogue on security and human rights gender related aspects and/or the role of human rights defenders and/or medias; and/or
☐ Encouraging knowledge sharing and lesson learning; and/or
☐ Show due consideration for concerns of local ownership and sustainability; and/or
☐ Build capacity of national and local CSOs; and/or
☐ Support the participation of local communities in the dialogue on security and human rights at the regional and national level through awareness raising, media campaign or other activities that could potentially have a national outreach; and/or
☐ Contribute to a constructive environment for dialogue in the country.

Does your proposal comply with the Result Based Management criteria?

☐ Yes, the documents submitted were designed using the Templates provided by the SHRIM.
☐ You designed an activity-based budget, clearly stating why you need support in every line
☐ You clearly established outputs and activities and you developed clear indicators to measure the progress of every output.

If you comply with the conditions of the admissibility checklist, you have until the 15 November to send the project and budget proposals to SHRIM@dcaf.ch.

The SHRIM Secretariat wishes you good luck!