Geneva Centre for Security Sector Governance







Fact Sheet: How can companies respect the rights of indigenous peoples when addressing security and human rights challenges?

Preview – Toolkit on Addressing Security and Human Rights in Complex Environments, new edition

# The Challenge

In complex environments and conflict-affected areas, businesses need security forces to protect their staff and assets. However, security forces pose significant human rights risks to local communities, especially marginalised groups such as women and indigenous peoples. Public and private security providers may use force against protestors and indigenous activists who raise concerns about the company's operations. They may also perpetrate acts of sexual violence, threaten indigenous peoples or commit other human rights abuse.

Indigenous peoples have distinct rights protecting their survival, dignity and well-being and companies have a responsibility to respect these rights. There is also a strong expectation from clients, investors, market actors and other stakeholders that companies fulfil this responsibility. Given the extreme vulnerability of many indigenous peoples, companies should adopt a heightened level of due diligence when consulting and engaging with them. Furthermore, companies that engage positively with indigenous peoples and work constructively in a framework of respect are more likely to avoid grievances or misunderstandings, gain community support and build a positive reputation. Escalation of conflicts can be prevented and potential security incidents can be managed responsibly.

Indigenous peoples' rights include:

- Free, Prior and Informed Consent (FPIC) is a well-established right that is enshrined in international instruments and national laws. It is incorporated in most instruments relating to the rights of indigenous peoples. FPIC empowers indigenous peoples to give or withhold consent to a project that may affect them or their territories. Once indigenous peoples have given their consent, they can withdraw it at any stage. Furthermore, FPIC enables indigenous peoples to negotiate the conditions under which the project will be designed, implemented, monitored and evaluated. FPIC is embedded in the right to selfdetermination, by virtue of which indigenous peoples freely determine their political status and pursue their economic, social and cultural development.
- Rights to property, culture, religion, and non-discrimination in relation to lands, territories and natural resources, including sacred places and objects.
- Rights to health and physical well-being in relation to a clean and healthy environment.
- Rights to set and pursue their own priorities for development.
- The right to make authoritative decisions about external projects or investments.

## Indigenous Peoples: What Should Companies Do?

**Ensure that indigenous peoples are appropriately identified and prioritized**. When engaging with indigenous peoples, the company and its security providers should consider their specific cultural characteristics, governance structures and traditional ways of interacting.

**Ensure inclusive decision-making** that takes into account and responds to the concerns of indigenous peoples. This is crucial to maintain good community relations. The absence of consent and eviction of lands to which indigenous peoples have special connection for cultural, religious, traditional or livelihood reasons have been a common cause for public demonstrations, social tensions, conflict and litigation.

**Understand the context of rights of indigenous peoples** and include a relevant analysis tailored to each context as integral to human rights due diligence.

**Include the recognition of indigenous people's rights and free, prior and informed consent in company policy commitments** and ensure that company operations and security providers in complex environments are made aware of, practice and seek FPIC on a continuous basis.

**Create and maintain appropriate grievance mechanisms** that can respond to concerns and complaints of indigenous persons and trigger renewed analysis and engagement on FPIC.

## **Example of Good Practices**

The Merian Gold/Surgold Project in Suriname is located on the traditional lands of the Pamaka tribal group, recognized as an indigenous people/tribal people by Surgold's owner company Newmont Suriname LLC and the international community. In 2013, Newmont and the Pamaka signed a letter of intent acknowledging that the Merian mine is operating on the ancestral lands of the Pamaka tribe of the Maroon peoples. Surgold states that its engagement and agreement-making processes are 'based on the principles of FPIC' but the company did not officially obtain FPIC.

Between 2013 and 2016, Surgold and members of the Pamaka tribe negotiating committee (appointed by traditional authorities of the Pamaka tribe) negotiated and reached a cooperation agreement that stipulates the roles and responsibilities delineated in the letter of intent produced in 2013. The letter of intent included provisions for environmental monitoring, community health and safety, informal community mining, grievance mechanisms and community information-sharing.

In 2016, Newmont commissioned an NGO (RESOLVE) to gather an expert panel to consider issues of FPIC and make recommendations on Newmont's engagement with the indigenous groups in Suriname. The panel's assessment highlighted both strengths and weaknesses in the implementation of FPIC principles and included recommendations for the company on how to better align its community engagement practices with the principles of FPIC within a human rights framework in the future. The process contributed to improving the company's understanding of FPIC and strengthening community engagement.

Sources:

- <u>Good Practice Guide: Indigenous Peoples and Mining (International Council on Mining</u> and Metals 2015), p. 115
- Free, Prior and Informed Consent (FPIC) within a human rights framework: Lessons from a Suriname Case Study (RESOLVE FPIC Solutions Dialogue 2017)
- Newmont Partnerships and Learning Network

## **Practical Tools:**

- <u>Due Diligence Guidance for Meaningful Stakeholder Engagement in the Extractive Sector,</u> <u>Annex B (OECD 2017)</u>
- IRMA Standard for Responsible Mining, chapter 2.2 (Initiative for Responsible Mining Assurance 2018)
- <u>Good Practice Guide: Indigenous Peoples and Mining (International Council on Mining and Metals 2015)</u>
- Indigenous Peoples Mapping Tool (IPIECA 2017)

#### **Key Resources:**

- UN Declaration on the Rights of Indigenous Peoples (UN General Assembly 2007)
- ILO Indigenous and Tribal Peoples Convention (International Labour Organisation 1989)
- IRMA Standard for Responsible Mining, (Initiative for Responsible Mining Assurance 2018)
- Engaging With Free, Prior, and Informed Consent (BSR 2012)
- International instruments on FPIC (IPIECA 2017)
- <u>Performance Standard 7 Indigenous Peoples (International Finance Corporation 2012)</u>
- FPIC and the Extractive Industry: A Guide to Applying the Spirit of Free, Prior, and Informed Consent in Industrial Projects (International Institute for Environment and Development 2013)

The Toolkit on Addressing Security and Human Rights Challenges in Complex Environments offers guidance to develop responsible security practices on the ground, in line with international standards. It was developed to support companies facing security and human rights challenges in complex environments.

The Toolkit, first developed in 2013, is structured as a practical handbook for companies. It pools a large set of resources and good practices related to human rights-compliant security management. It also proposes tools that help translate the good practices to a particular operational context. It furthermore assists companies in ensuring that human rights due diligence policies and processes are informing security arrangements. The Toolkit supports companies to contribute to the Sustainable Development Goals and to implement the UN Guiding Principles on Business and Human Rights, especially those companies operating in conflict-affected areas and otherwise complex environments.

In 2021, the Geneva Centre for Security Sector Governance (DCAF), the International Committee of the Red Cross and the Geneva Center for Business and Human Rights partnered together to revamp the Toolkit with new case studies, cutting-edge good practices, practical tools and more.

The new edition of the Toolkit will be released in June 2022. This fact sheet provides a preview of how the Toolkit will support companies in protecting the rights of indigenous peoples when navigating security and human rights challenges.